### FACULTY OF MECHATRONICS, INFORMATICS AND INTERDISCIPLINARY STUDIES TUL

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# ONLINE PUNCTUATION RESTORATION USING ELECTRA MODEL FOR STREAMING ASR SYSTEMS

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#### Summary

This contribution introduces a lightweight online approach to Automatic Punctuation Restoration (APR), designed for real-time speech transcription systems such as live captioning for TV or radio broadcasts. The approach uses textual input without prosodic features and employs a fine-tuned ELECTRA-Small model with a two-layer classification head. This allows for the restoration of question marks, commas, and periods with minimal inference time and a latency of just three words.

#### **Block-processing vs streaming mode**

Block-processing mode:

- punctuation is restored for the entire block of text.

### **Proposed approach**

$$\begin{array}{c|c} T_0 \rightarrow & \rightarrow [F_0, \dots, F_{255}] \rightarrow & \rightarrow [C_0, C_1, C_2, C_3] \\ \hline \\ F_n \rightarrow & & & & \vdots \\ T_n \rightarrow & & & & \rightarrow [F_0, \dots, F_{255}] \rightarrow & & & & & & \\ \end{array}$$

Fig. 1. Proposed APR module

Text preprocessing:

SentencePiece tokenizer with 30522 tokens.

Pre-trained model:

ELECTRA-Small architecture with embedding vector of size 256.

Classification head for APR:

- two feed-forward layers (512 and 4 neurons) with SELU;
- fine-tuned with a small learning rate (LR) for the pretrained model and

Streaming mode:

- each forward pass determines the punctuation for only one word;
- it is not possible to use an input block of constant size;
- the left context is limited by the number of already recognized words (maximum 100 words);
- the right (future) context should be as short as possible.

Context of three words yields F1 values just by 1% smaller than those achieved in the block-processing mode.

max. left cont.	max. right cont.	P [%]	R [%]	F1 [%]
100	1	73.3	67.4	70.2
100	2	75.0	72.5	73.7
100	3	75.3	74.2	74.7
100	4	75.2	75.1	75.1
100	5	75.5	75.5	75.5
100	10	76.0	76.0	76.0
100	100	75.6	73.7	74.6
block-processir	ng with no overlay	75.3	76.8	76.0

- higher LR for classification head;
- produces probability for question mark, comma, period and none.

#### **Training & Development Data**

The train dataset includes:

- 23 GB of Czech texts (i.e., 5 billion tokens);
  - newspaper articles, manually corrected ASR transcripts of Czech TV/R broadcasts, diploma theses and legal texts.
- Distribution of punctuation marks among tokens:
  - dots (4.5%), commas (4.5%) and question marks (0.2%).

The development dataset consists of manually corrected TV/R transcripts containing 259K tokens.

## **Evaluation Metrics**

precision (P), recall (R), F1-score (F1);

Evaluation was also performed in the one class scenario:

- all three punctuation marks were merged into one class;
- substitutions of individual punctuation marks were ignored.

### **Impact of Architecture Type**

Different transformer architectures compared:

BERT (pre-trained), ELECTRA-Small and ELECTRA-Base (trained from

Table 2. Results [%] of the proposed APR module in the streaming mode

## **Results for streamed ASR transcripts**

The test data represents a real output from E2E ASR system.

Comparison to RNN-based real-time APR module for Czech:

 It utilizes LSTM units, word embeddings, prosodic features and information about silence extracted from speech signal.

#### The proposed APR module:

- achieves comparable or better results without using prosodic features;
- just in spontaneous speech, dots and commas are more often confused.

Speech	Proposed APR F1 [%]	Proposed APR F1 (one class) [%]	RNN APR F1 [%]	RNN APR F1 (one class) [%]
Scripted	71.2	84.3	62.1	73.4
Spontaneous	69.4	89.0	71.6	73.3

Table 3. Comparison of the proposed APR module in online mode to the RNN APR module

#### Conclusions

The proposed lightweight APR module for Czech:

- uses the ELECTRA-Small transformer;
- operates online with a latency of just three-words;

#### scratch) and GPT-3 based service in an edit mode.

ELECTRA-Small achieves the best results with the lowest inference time.

architecture	F1 [%]	F1 (one class) [%]	inf. time [ms]
BERT-Base	75.2	88.9	61
ELECTRA-Small	76.0	90.7	11
ELECTRA-Base	75.4	90.7	60
GPT-3 (in edit mode)	65.1	73.7	-

Table 1. Comparison of performance of various architectures in the offline block-processing mode

- consumes pure text on its input;
- almost matches block regime accuracy;
- struggles with low-frequency question marks;
- has very low computation demands;
  - forward pass takes 11 ms on Intel i7-9700K processor.

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